

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	6440754
<b>Application Number:</b>	09127341
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	9998
<b>Title of Invention:</b>	OBJECT ORIENTED SYSTEM FOR MANAGING COMPLEX FINANCIAL INSTRUMENTS
<b>First Named Inventor/Applicant Name:</b>	MICHAEL DEADDIO
<b>Customer Number:</b>	27611
<b>Filer:</b>	David W. Schalk
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	11021.0001
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<b>Filing Date:</b>	31-JUL-1998
<b>Time Stamp:</b>	14:14:07
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment		no			
File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Issue Fee Payment (PTO-85B)	issue.pdf	97910	no	1
			e4d911b788b6c5eef8b0f46f6704e03c51194b29		
Warnings:					
Information:					

2	Miscellaneous Incoming Letter	markedup.pdf	2914301 08b2d642288db744dba3b51eecebc70759e6baf8	no	60
Warnings:					
Information:					
3		NovemberAmend01.pdf	55833 899a0ba0f9959b515550505cd0f8cc1b91522c48f2	yes	7
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment After Final		1	1	
	Specification		2	2	
	Claims		3	6	
	Applicant Arguments/Remarks Made in an Amendment		7	7	
Warnings:					
Information:					
4	Miscellaneous Incoming Letter	NovemberClaims01.pdf	27930 c21366f8bc509c3ca9246796cd93d0bcb24db88	no	4
Warnings:					
Information:					
5	Specification	SubstSpec03.pdf	426961 7c394bc7832f53cd08ba7613c32e9fad8506d7f	no	64
Warnings:					
Information:					
Total Files Size (in bytes):			3522935		

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.